# Collaborative UAV Surveillance

Winston Smith
Department of Computer Science
Southern Illinois University
Carbondale, IL 62901
winston.smith@siu.edu

Henry Hexmoor
Department of Computer Science
Southern Illinois University
Carbondale, IL 62901
hexmoor@cs.siu.edu

*Abstract*—Autonomous collaborative robotics is a topic of significant interest to groups such as the Air Force Research Lab (AFRL) and the National Aeronautics and Space Administration (NASA). These two groups have been developing systems for the operation of autonomous vehicles over the past several years, but each system has several critical drawbacks. AFRL's Unmanned Systems Autonomy Services (UxAS) supports pathfinding for multiple tasks performed by groups of vehicles, but has no formal verification, very little physical flight time, and no concept of collision avoidance. NASA's Independent Configurable Architecture for Reliable Operations of Unmanned Systems (ICAROUS) has collision avoidance, partial formal verification, and thousands of hours of physical flight time, but has no concept of collaboration. AFRL and NASA each wanted to incorporate the features of the other's software into their own, and so the CRoss-Application Translator for Operational Unmanned Systems (CRATOUS) was created. CRATOUS creates a communication bridge between UxAS and ICAROUS, allowing for full feature integration of the two systems. This combined software is the first system that allows for the safe and reliable cooperation of groups of unmanned vehicles.

*Keywords—UAS; Autonomy; Cooperation; UxAS; ICAROUS*

## I. INTRODUCTION

The field of collaborative autonomous robotics is an area of intense interest for many groups, not least among them NASA Langley and the Air Force Research Laboratory (AFRL). These two agencies have each created software that helps to enable airborne or spaceborne vehicles to safely complete missions, but the focus of each agency's software is, in many ways, the opposite of the other. NASA's ICAROUS software has thousands of hours of flight time on physical vehicles and is partially formally verified [2], but it has absolutely no concept of collaborative missions. AFRL's UxAS smoothly handles multiple vehicles collaborating to complete multiple tasks but has less than a hundred hours of flight time and little to no formal verification on any of its modules. Both agencies wanted to integrate the other software's features into theirs, and so NASA Langley funded the research supporting this paper - the research that lead to the creation of the CRoss-Application Translator for Unmanned Operational Systems, or CRATOUS. CRATOUS is a system that allows for full integration of functionality between UxAS and ICAROUS. This is the first general system for collaborative vehicle missions that has any guarantees regarding safety of the vehicles, non-violation of mission constraints, or completion of the mission.

In section 2, we detail the systems currently included in CRATOUS, UxAS and ICAROUS. We cover the architectures of these systems as well as their features and limitations. Process flow diagrams are given and explained, and some of the core algorithms are discussed.

In section 3, we move on to the functionality of CRATOUS, which combines ICAROUS and UxAS. We give an example problem for each side of the CRATOUS bridge and walk through what happens in each piece of software. Finally, we give a short discussion on the architecture of CRATOUS and its design philosophy.

In section 4, we examine the results of our work that have not already been covered in previous sections. Included is a discussion on the limitations of CRATOUS and suggestions for the work that should be done on it in the future.

Some work related to this subject can be found in [5], a paper discussing the fine hardware and software details of one implementation of small autonomous UAVs. [6], [7], and [13] discuss decentralized algorithms for consensus in UAVs, which is somewhat of an opposite to the approach used in UxAS. [8] provides a mathematical approach to the creation of an algorithm for UAV tracking of unknown targets in low-information environments. [14] discusses the implementation of real-time path planning, following the process from algorithm to hardware experiment. [15] provides an algorithm for estimating position and attitude of a UAV with minimal sensors. The report in [18] details an experiment where UAVs are flown in a low-altitude, high-obstacle, high-interference environment and expected to inspect ground structures. [22] approaches UAV routing from a communication-aware standpoint, routing UAVs so that they may efficiently communicate during a mission. Finally, [23] provides a system for forest fire monitoring using a team of autonomous UAVs.

## II. BACKGROUND

### A. UxAS

UxAS is a modular system for computing the optimal paths for a set of UAVs, given a set of tasks for the UAVs to perform as a group, constraints on the tasks, keep-in

and keep-out zones, and the parameters of the UAVs, such as initial position and maximum speed. UxAS is organized into a group of modules, which may send and receive messages across the Light-weight Message Control Protocol, or LMCP, Bus. Modules may send a generalized message with a topic that other modules may or may not subscribe to or they may send a targeted message, delivered only to a specific module or group of modules [21]. AMASE is connected to the LMCP bus through its own special connection and may receive and send messages just like normal modules. Figure 1 shows a basic diagram of the architecture of UxAS.

A full examination of the workings of UxAS is beyond the scope of this paper, but a brief summary is necessary for the understanding of how CRATOUS functions. At startup, UxAS reads from a large set of files, mostly given in the XML format, and uses the settings and input contained in the files to initialize its modules. Of special importance is the process algebra string, which is a string that tells UxAS which tasks should be performed, as well as any constraints on the tasks. Process algebra is discussed further in [12]. UxAS expands the algebra string into TaskOptions, representing different ways to fulfill the tasks. For example, a LineSearch task may be searched starting from either end of the line. When the algebra string has been expanded and parsed into internal Task data, UxAS enters its main computational phase.

The core algorithm behind the optimality solving of UxAS is a branch-and-bound tree. This tree is roughly sorted from first branch to last branch by cost. Cost is determined by an optimization parameter given by the user before runtime. The tree is parsed from start to finish, and entire sections may be pruned - "bounded" - early if they are obviously worse than a solution already found. Given enough time, UxAS will find the best solution to the mission it was given but given less time than that it will return the best solution it could find in the time it was given. Usually, the first branch of the tree is either the optimal solution or, more likely, close in cost to the best solution, since the branches are organized according to a basic estimation of their total cost. This allows UxAS to quickly find a solution that should be workable, but in the worst case it must consider every possible combination of assignments of TaskOptions to UAVs - an NP-hard problem that is roughly equivalent to a simpler version of the Travelling Salesman problem with multiple salesmen. Figure 2 shows a highly simplified version of the flow of events in a single execution of UxAS.
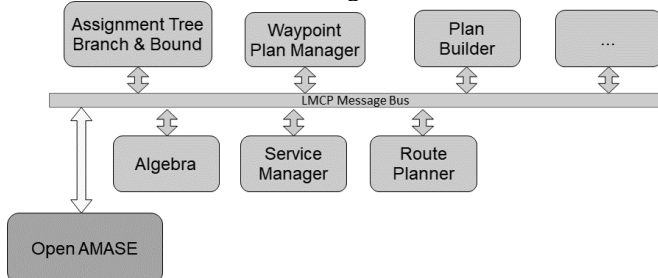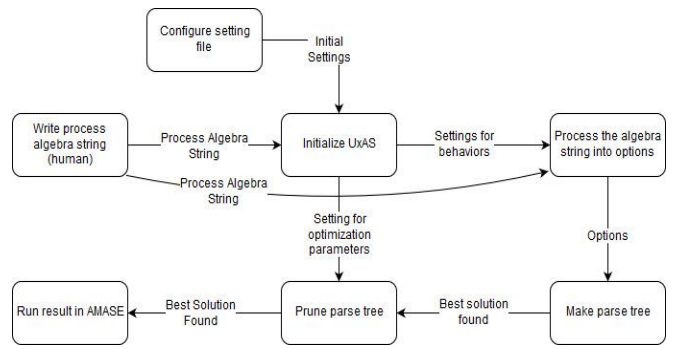


**Figure**. 1: UxAS Architecture



Figure 2: UxAS Sequence of Events (credit to Mr. Paul Coen for creating this figure)

### a. Limitations of UxAS

First and foremost, UxAS has no formal verification, and extremely limited testing outside of the AMASE simulation environment. It has approximately 150 hours of real flight time as of 2014 [21], and no formal verification at all as of the time of writing. Additionally, UxAS potentially computes every possible combination of assignments of all tasks to all UAVs, so its computational complexity is potentially factorial in nature.

The mission specification language for UxAS is called process algebra. More information on process algebra as a mission and task assignment language can be found at [12]. This is a subset of Metric Temporal Logic and uses some different notation. In the default process algebra of UxAS, there is no concept of time, and there are only three operators. The first, "alternative," specifies that at least one task of a set should be performed. The second, "parallel," functions similarly to an "and" operator, specifying that all tasks in the set can and should be performed concurrently. The third, "sequential," specifies that tasks should be assigned in the same order they are given, but otherwise behaves the same as the parallel operator. A table of these operators and their symbols is given in Table 1.

| Operator | Symbol |
|---|---|
| ALTERNATIVE | + |
| PARALLEL | \| |
| SEQUENTIAL | . |

**Table** 1: UxAS Process Algebra

### B. ICAROUS

ICAROUS, or Independent Configurable Architecture for Reliable Operations of Unmanned Systems, is a relatively new project from NASA Langley aiming to create, as the name implies, a verifiable and verified system for operations of autonomous vehicles. The architecture of ICAROUS is nominally like that of UxAS: There are many apps, connected through a messaging system via subscriptions. The only major architectural difference is that ICAROUS can, and is in fact designed to, run on a single autonomous drone, using no operating system, whereas UxAS must run on one of the major operating systems - Mac OS X, Ubuntu Linux 16.04, or Windows 7 or 10. Figure 3 shows the architecture of ICAROUS [9].

Portions of ICAROUS are formally verified by the use of PVS Version 6.0 and the NASA PVS library. PVS is a verification system used for automated formal verification of software systems. ICAROUS is also being developed in a verification-driven manner, as discussed in [11] and [20]. The two portions of ICAROUS that are fully formally verified at the time of writing are DAIDALUS [19], which handles the detection and avoidance of collisions, and PolyCARP, which handles computation behind the exact location of the points in polygons. This functionality is mainly used in allowing ICAROUS to know where geofences are located. Together, these two pieces of software can guarantee that collisions are avoided, and mission constraints are respected given that it is possible to do so. The third guarantee mentioned in this paper, that of mission completion, is given as a result of extensive real-world testing of ICAROUS. The system has thousands of hours of flight time, and though bugs are still found occasionally, mission completion can be practically guaranteed. The PVS proofs for DAIDALUS and PolyCARP can be found at https://github.com/nasa/WellClear/tree/master/PVS and https://github.com/nasa/PolyCARP/tree/master/PVS respectively. These proofs are far too long to directly quote here.
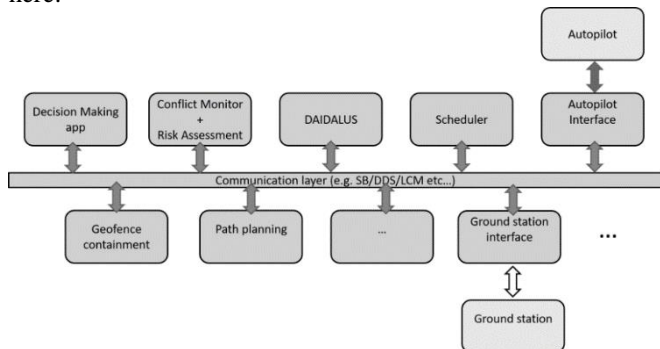


**Fig**. 3: ICAROUS Architecture

a. Limitations of ICAROUS

ICAROUS was designed to only control a single vehicle. It has absolutely no concept of cooperation, or of any vehicle -- other than the one it controls -- that is not an intruder. It is also only partially formally verified, and large parts of the code governing regular usage are not formally verified. The simulation environment for ICAROUS, MAVLink, is quite difficult to users, and is relatively feature-poor to developers. Additionally, it is relatively difficult to define new missions in ICAROUS; there is no system equivalent to the process algebra of UxAS, nor the GUI editor for missions.

That said, these points are mostly either usability concerns or not as severe as they may appear. ICAROUS was designed to only control one vehicle, but this is something the creators understood and accepted before they began to code the software. The partial formal verification allows them to continue developing ICAROUS, since they are not allowed to modify verified portions of code, and the thousands of hours of live flight time help to assure code correctness in the meantime. The final two points are based in usability. All of these complaints, with the sole exception of the one concerning formal verification, are solved with the addition of CRATOUS. CRATOUS provides ICAROUS with a connection to UxAS, which can control multiple vehicles collaboratively. ICAROUS is also provided with the OpenAMASE simulation environment, a much more sophisticated tool than MAVLink, and OpenAMASE

includes a relatively easy-to-use GUI editor for missions. On top of that, CRATOUS missions are specified in process algebra through UxAS, making it much easier to quickly define new missions.

III. CRATOUS

A. Overview

The CRoss-Application Translator for Operational Unmanned Systems, or CRATOUS, is an ICAROUS module created to allow ICAROUS to connect to any server that has been configured to accept it. In the work done for NASA Langley in the summer of 2018, a module that can handle ICAROUS was added to UxAS, enabling these two pieces of software to collaborate on mission planning and runtime rerouting. As was mentioned in the introduction section, the combination of ICAROUS, CRATOUS, and UxAS has created the first system for solving general collaborative automated vehicle missions with any sort of guarantees towards safety, constraint nonviolation, or mission completion. In this section, we will expand further on these technical details, as well as detailing exactly how CRATOUS works.

CRATOUS is both an application that sets up a TCP/IP bridge with the server, communicates with the server, and provides an interface to ICAROUS for the server; and the overall messaging bridge between ICAROUS and the server. We have said that the modules of ICAROUS and UxAS communicate through a messaging bridge, and CRATOUS does not break this convention. Every change in behavior exhibited between ICAROUS and UxAS with and without CRATOUS running can be explained by the messages sent and received by the respective modules in each piece of software. This allows CRATOUS to function with, more or less, black-box applications. So long as the messaging interface between each side of CRATOUS and the overall pieces of software is the same, the internals of the applications do not affect how CRATOUS behaves on a basic level.

CRATOUS operates on an Internet connection between two pieces of software, and so in the real world, where there are no guarantees of message delivery via the Internet, it is non-algorithmic and provides no hard guarantees. However, with a reliable Internet connection, there are many useful properties of the system as a whole. With ICAROUS running on each vehicle, all of the guarantees ICAROUS gives are provided here as well. Namely, vehicles will not violate mission constraints given that a nonviolation is possible, vehicles will not collide with each other or with intruders given that it is possible to avoid such collisions, and missions will be completed in finite time if it is possible to do so. With UxAS running as an overall mission "commander," these features are brought into a collaborative environment, with one caveat. The instances of ICAROUS that run for each vehicle do not communicate with each other, so in very rare, perfectly symmetrical edge cases, vehicles may avoid each other and end their avoidance maneuver in the exact same positions they started the maneuver in, forever. This requires that the vehicles approach roughly the same point at nearly the exact same time, have the exact same maneuverability parameters as each other, and start and end their avoidance maneuvering at nearly the exact same time. Additionally, altitude and speed resolutions must be impossible or disabled for this scenario to occur.
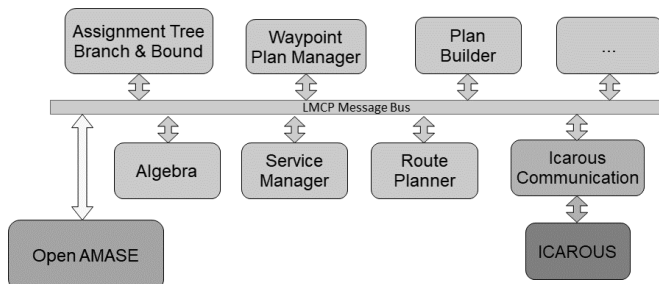
**Figure**. 4: UxAS Architecture with CRATOUS

### a. UxAS with CRATOUS

As we have discussed previously, UxAS is a modular system for optimal path planning between sets of autonomous vehicles on collaborative missions. However, it has nearly zero flight time and no formal verification. Each module of UxAS interacts with the others through a messaging interface, and messages can be sent to an individual module, to a group of modules, or, most commonly, as a general announcement that any subscribed module can receive. When UxAS runs with the IcarousCommunicationService, or ICS, module included, this architecture is unchanged except for the addition of the extra module. This can be seen in Figure 4: UxAS Architecture with CRATOUS. When ICS starts up, it will look inside its configuration file to see how many instances of ICAROUS are to connect to UxAS and wait for these connections. Since UxAS initializes modules sequentially, this causes the entire program to wait on the ICAROUS connections to be authenticated.

When all instances of ICAROUS have connected to UxAS successfully, then UxAS finishes its initialization of the other modules and proceeds with normal processing as outlined in Chapter 2 Section A until the time comes for simulation, unless the use of an ICAROUS path planner for pre-mission path planning is requested. In this case, a message is sent out in UxAS to disable the UxAS path planning modules and an instance of ICAROUS is chosen to handle pre-mission path planning. Further discussion of this scenario is in the next subsection of this chapter. UxAS will also send waypoints for all geofence vertices to ICAROUS so that ICAROUS will not send any UAV through a no-fly zone.

When the assignment tree has been parsed and AMASE begins to simulate the mission, the ICAROUS instances are each assigned to a UAV in order of ascending UAV ID number. The waypoints for each UAV that has an ICAROUS instance assigned to it are sent to the relevant ICAROUS instance, and the mission is ready to begin. There may be fewer ICAROUS instances than UAVs in the scenario, but there may not be more. The UAVs that are assigned an ICAROUS instance will have collision avoidance enabled, and the others will not. The simplest case is where only a single UAV out of multiple has ICAROUS attached, so we will go through this example first, then move on to missions where there are more ICAROUS instances enabled. As discussed in Chapter 2 Section B, ICAROUS is an ownership-based system, meaning that it is only capable of guiding a single vehicle, its "owned ship." Thus, a single ICAROUS instance cannot guide multiple UAVs and a separate instance of ICAROUS must be created for each UAV where collision avoidance is desired.

In a mission where a single UAV is handled by ICAROUS and at least one other is not, only the UAV with ICAROUS has any guarantees about mission conformance, completion, or safety. The others should still complete the mission without violating the constraints, but these UAVs are

handled exclusively by UxAS. ICAROUS is fed a constant "heartbeat" stream of information, carried in several messages that are sent from UxAS to ICAROUS via ICS and CRATOUS twice per second. One message is for the owned ship's position, speed, heading, and current waypoint; one message per non-owned vehicle is sent with the same information about that vehicle except for current waypoint; one message is for the owned ship's attitude (pitch, roll, and yaw); and the final message is an optional command message, which can cause ICAROUS to reset, forget geofences, forget waypoints, allow UxAS to take over live path planning, and so on. When ICAROUS predicts a collision or well-clear violation, it will send a message to UxAS through the same CRATOUS-ICS bridge and UxAS will allow it to take control of that UAV. Further information about what messages ICAROUS sends to control a UAV during a collision avoidance maneuver is in subsection B.2. UxAS will also update ICAROUS whenever a mission waypoint is reached, so that ICAROUS can plot a return-to-path maneuver for the proper waypoint. While ICAROUS is in control of a UAV, UxAS will keep track of any task the UAV was in the middle of performing, if any, and judge whether or not the UAV was kept close enough to on-course to continue the task from where ICAROUS hands back control, or if the UAV needs to go back to some point during the avoidance maneuver and restart the task from there. The exact distance that determines how far "too far" is, is given in a configuration file at startup.

Command messages are usually only sent from UxAS to ICAROUS, and usually only in the case of a nonfatal error, such as ICAROUS sending a message indicating that it didn't receive part of a geofence. The only other cases where a command message might be sent are when UxAS encounters a fatal internal error, on the start of a mission, or immediately before and after an avoidance maneuver. In the last case, ICAROUS will send a message notifying UxAS that it would like to take or relieve control of its UAV.

In a scenario with multiple UAVs being controlled by ICAROUS, the multiple instances of ICAROUS do not communicate with each other. Collaborative avoidance maneuvers and intersection control are subjects beyond the scope of this work. Instead, each UAV will treat each other UAV as an intruder with an unknown path, and attempt to avoid these intruders. If both of the UAVs involved in a predicted collision are controlled by ICAROUS, they will both attempt to avoid the other, which is suboptimal. So long as avoidance is possible, it will still happen, but there may exist a temporary seeming deadlock of a given intersection.

### b. ICAROUS with CRATOUS

As we outlined previously in section 2, ICAROUS is a modular system with partial formal verification for planning and executing missions for a single UAV while avoiding obstacles and other vehicles that may or may not be moving. It has thousands of hours of flight time on physical aircraft, and brings some guarantees of mission completion, restraint compliance, and aircraft safety. However, unlike UxAS, it does not have an easy-to-use simulation environment, and it cannot command multiple vehicles simultaneously. When ICAROUS starts up, it will initialize its various modules, allocating memory for them and messages sent to them. The messaging system in ICAROUS uses the same basic "subscribe and send" system as UxAS, but there is no way to send a message to a specific module from another module, and no concept of groups of

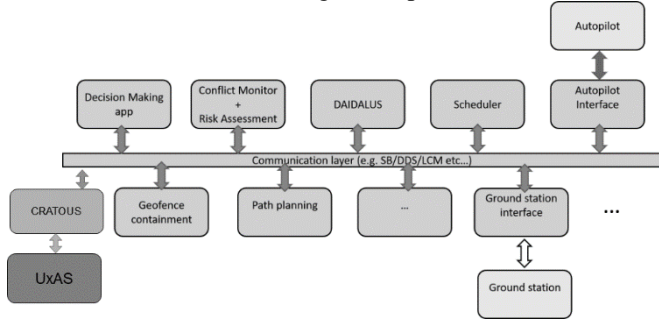modules. Instead, all messages are pushed to the common



**Fig**ure 5: ICAROUS Architecture with CRATOUS

message bus, and any modules that have subscribed to that type of message will receive it. Figure 5, ICAROUS Architecture with CRATOUS, is an illustration of this.

When ICAROUS starts up, one of the modules it initializes is named CRATOUS. CRATOUS will attempt to connect to UxAS using a given IP address, and if it cannot then it will exit and cause ICAROUS to crash. If the user has specified that an ICAROUS path planner should be used for pre-mission path planning, then UxAS will send a command message to CRATOUS, prompting it to request routes that are fed in by UxAS and send back the results. When UxAS has sent the geofence information and the waypoints for the vehicle owned by ICAROUS, the mission is ready to begin.

When the simulation is started, the two-hertz heartbeat messages regarding vehicle positions to ICAROUS from UxAS will begin and ICAROUS will monitor for possible collisions or well-clear violations. Other than this heartbeat message, CRATOUS now acts as a listener to ICAROUS. If and when a violation is detected, ICAROUS will begin to attempt avoidance and CRATOUS will begin sending messages back to UxAS. CRATOUS translates the messages ICAROUS creates regarding desired headings into the string-based format discussed at the beginning of Section B (TODO) and sends the translated messages to ICS. ICS will then translate the strings into LMCP messages for UxAS and
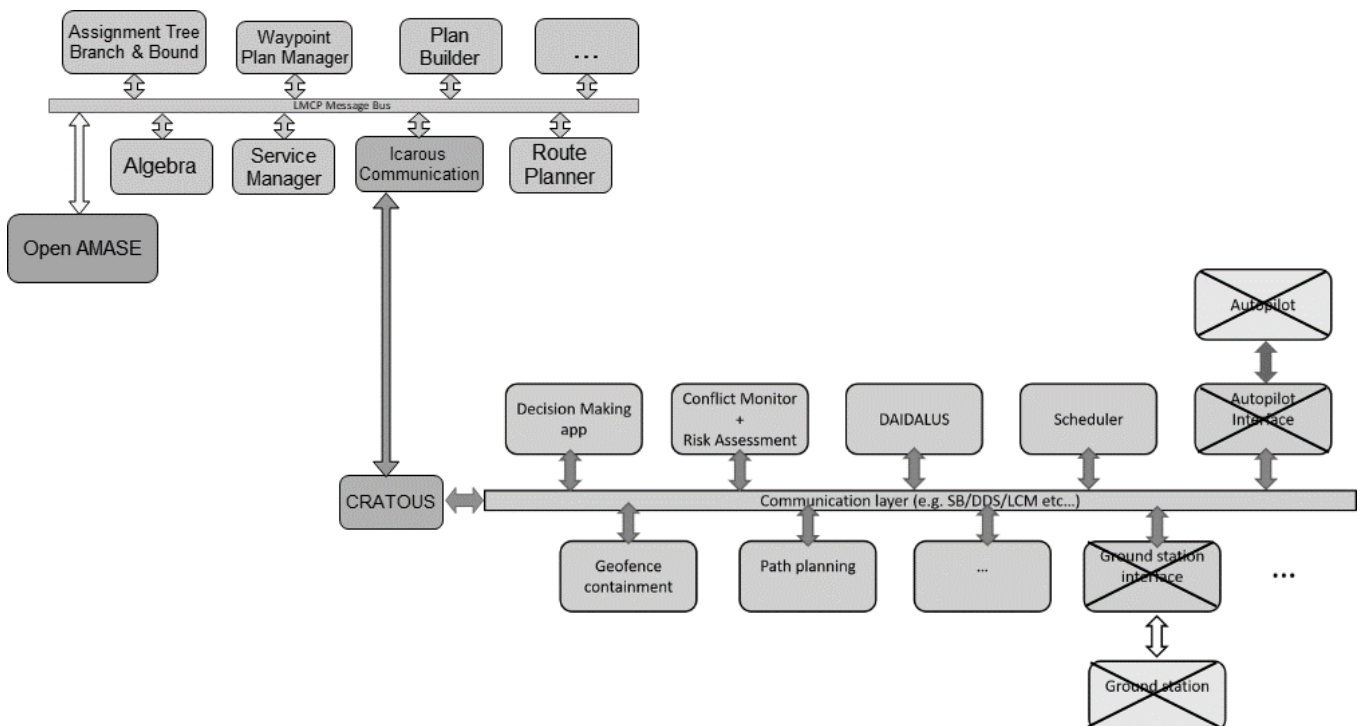
AMASE and broadcast these commands. CRATOUS will continue to send these control messages until such time as ICAROUS decides that the UAV may return to course, and at this point CRATOUS will return to just sending out the heartbeat message to ICAROUS.

### c. Overview of CRATOUS Architecture

CRATOUS as a full integrated system performs all of the tasks of UxAS and ICAROUS, with communication between them to control the simulation environment. The architecture of this complete system is shown below in Figure 5. The Ardupilot and Ground Station Interface modules are crossed out because UxAS fills this functionality for ICAROUS.

CRATOUS was made with three major goals in mind: high extensibility, low programmer time to write code, and the ability to link UxAS and ICAROUS without affecting their internal processing. High extensibility was the primary concern, and a string-based messaging protocol is extremely extensible. The other approach that we examined that would have also been extensible was putting a software wrapper around ICAROUS and then putting ICAROUS directly inside of UxAS. This approach was abandoned when it became obvious that the secondary goals, writability and modularity, would suffer and that the Internet-based approach that was taken could fulfill all three goals simultaneously.

### B. CRATOUS Messaging

When the UxAS side of the CRATOUS bridge sends a message to the CRATOUS app in ICAROUS or vice versa, a very specific format is used. A five-letter identifier of the message type is given, and then each field of the message is specified. The format is label-value, with the label as an abbreviation and the value given immediately after. A comma is inserted at the end of the value. The fields can be in any order, but the message identifier must occupy the first exactly five characters of the message. An endline character marks the end of a message. An example message that CRATOUS might send internally is:

WAYPTtotal1,index1,lat51.433647,long0.215516,alt100,speed100,\n



**Figure** 6: UxAS and ICAROUS Architecture with CRATOUS

This message describes the first waypoint of a mission that has only one waypoint. A waypoint is one entry in an arbitrarily long list of points that the vehicle should navigate to. The waypoint is located at the given latitude and longitude, 100 meters above the ground, and UAVs should be moving as close to 100 meters per second as possible when moving toward the waypoint from the starting position or previous waypoint. There are several other types of messages in CRATOUS. Their tags and purposes are given in the table 2.

Table 3.1: CRATOUS Message Types

| Tag | Purpose |
| --- | --- |
| GEOFN | Geofence specification (keep-in or keep-out) |
| POSTN | Owned ship's position, velocity, and heading |
| ATTUD | Owned ship's attitude (pitch, roll, and yaw) |
| OBJCT | Other vehicles, and non-vehicle objects |
| COMND | Command messages |
| WPRCH | Indicates a given waypoint was reached |
| WPREQ | Request for a path between two waypoints |

**Table** 2: UxAS Process Algebra

IV. CONCLUSION

As we covered previously, the creation of CRATOUS fulfilled all major goals. Namely, ICAROUS and UxAS are each able to access the full functionality of the other program during their normal runtime, and while the current system has some limitations, it does allow for generally safe operation of collaborative unmanned vehicles. We have given ICAROUS the ability to perform collaborative missions, use the AMASE simulation environment, and do path planning through the UxAS path planners rather than its own. We have given UxAS access to the formally verified collision avoidance system of ICAROUS, as well as the ability to use the ICAROUS path planners.

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